

BARNES & THORNBURG LLP

11 South Meridian Street
Indianapolis, Indiana 46204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23643 }
 }
Group: 1625 (Filing Receipt) }
 }
Confirmation No.: 1581 }
 }
Application No.: 10/553,532 }
 }
Invention: CYTOTOXIC INDENO AND }
 ISOINDOLOISOQUINOLONES }
 }
*First-Named }
 Applicant:* Mark S. CUSHMAN }
 }
Filed: October 14, 2005 }
 }
Attorney Docket: 3220-78751 }
 }
Examiner: Unknown }

Filed Electronically
on October 16, 2006

International Serial No.: PCT/US2004/014581
International Filing Date: 11 May 2004

SECOND REQUEST FOR CORRECTED FILING RECEIPT

BOX Office of Initial Patent Examination's Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Corrected Filing Receipt mailed September 19, 2006, a marked-up copy of the Corrected Filing Receipt for the above-identified application is attached with the requested changes.

The corrected receipt indicates that the "Assignment for Published Patent Application" is "Purdue Research & Foundation the Government of the United States of America as Represented by the."

Applicants attach hereto copies of the following papers filed on August 30, 2006:
Which include (1) Power of Attorney from PURDUE RESEARCH FOUNDATION; (2) Power of Attorney from THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES; (3) STATEMENT UNDER 37 CFR 3.73(b) stating the name of Assignee PURDUE RESEARCH FOUNDATION as recorded in the United States Patent Office at Reel 015560, Frame 0493; and (4) STATEMENT UNDER 37 CFR 3.73(b) stating the name of Assignee THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES as recorded in the United States Patent Office at Reel 016336, Frame 0136.

Accordingly, applicants respectfully request that a the Corrected Filing Receipt be corrected again and issued with the correct names of the assignees as follows: PURDUE RESEARCH FOUNDATION; THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES. Applicants also request that all records be corrected to indicate the above corrections and that the application be published with the correct names of assignees.

Applicants believe no fee is due for this Request. If it is determined that a fee is properly required, the Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 10-0435, referencing attorney docket no. 3220-78751.

Respectfully submitted,



Kévin L. McLAREN,
Attorney Reg. No. 48351

KLM/ezc/839107
Indianapolis, IN
(317) 231-7776

Attachments: Copy of Corrected Filing Receipt showing requested corrections
 Copies of applicants first request for a Corrected Filing Receipt



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,532	10/14/2005	1625	950	3220-78751	4	27	2

23643
 BARNES & THORNBURG LLP
 11 SOUTH MERIDIAN
 INDIANAPOLIS, IN 46204

RECEIVED

SEP 25 2006

CONFIRMATION NO. 1581

CORRECTED FILING RECEIPT



OC000000020489275

BARNES & THORNBURG LLP

Date Mailed: 09/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark S. Cushman, West Lafayette, IN;
 Yves G. Pommier, Bethesda, MD;

Assignment For Published Patent Application

Purdue Research & Foundation the Government of the United States of America as Represented by the

Power of Attorney: The patent practitioners associated with Customer Number 23643.

PURDUE RESEARCH FOUNDATION;
 THE GOVERNMENT OF THE UNITED
 STATES OF AMERICA AS
 REPRESENTED BY THE DEPARTMENT
 OF HEALTH AND HUMAN SERVICES

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/14581 05/11/2004
 which claims benefit of 60/469,718 05/12/2003

Foreign Applications

If Required, Foreign Filing License Granted: 07/25/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,532**

Projected Publication Date: 11/02/2006

Non-Publication Request: No

Early Publication Request: No

Title

Cytotoxic indeno and isoindoloisoquinolones

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Acknowledgement Receipt

The USPTO has received your submission at **14:09:57** Eastern Time on **30-AUG-2006** .

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information

EFS ID	1178269
Application Number	10553532
Confirmation Number	1581
Title	Cytotoxic indeno and isoindoloisoquinolones
First Named Inventor	Mark S. Cushman
Customer Number or Correspondence Address	23643
Filed By	Kevin L. McLaren/Mary Eskridge
Attorney Docket Number	3220-78751
Filing Date	14-OCT-2005
Receipt Date	30-AUG-2006
Application Type	U.S. National Stage under 35 USC 371

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
78751req.pdf	9	Request for Corrected Filing Receipt	449666 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.

BARNES & THORNBURG LLP

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Indianapolis, Indiana 46204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23643 }
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Group: 1625 (Filing Receipt) }
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Invention: CYTOTOXIC INDENO AND }
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*First-Named }
 Applicant:* Mark S. CUSHMAN }
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Filed: October 14, 2005 }
 }
Attorney Docket: 3220-78751 }
 }
Examiner: Unknown }

Filed Electronically
on August 30, 2006

International Serial No.: PCT/US2004/014581
International Filing Date: 11 May 2004

REQUEST FOR CORRECTED FILING RECEIPT

BOX Office of Initial Patent Examination's Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Filing Receipt mailed July 26, 2006, a marked-up copy of the official Filing Receipt for the above-identified application is attached with the requested changes.

The original receipt indicates that the "Assignment for Published Patent Application" is "CUSHMAN, Mark S., POMMIER, Yves G.."

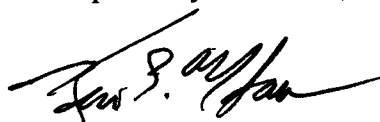
Applicants attach hereto copies of the following four papers filed on October 14, 2006: (1) Power of Attorney from PURDUE RESEARCH FOUNDATION; (2) Power of Attorney from THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS

REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES;
(3) STATEMENT UNDER 37 CFR 3.73(b) stating the name of Assignee PURDUE RESEARCH FOUNDATION as recorded in the United States Patent Office at Reel 015560, Frame 0493; and (4) STATEMENT UNDER 37 CFR 3.73(b) stating the name of Assignee THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES as recorded in the United States Patent Office at Reel 016336, Frame 0136.

Accordingly, applicants respectfully request that a corrected filing receipt be issued with the correct name of the assignees as follows: PURDUE RESEARCH FOUNDATION; THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES. Applicants also request that all records be corrected to indicate the above corrections and that the application be published with the correct names of assignees.

Applicants believe no fee is due for this Request. If it is determined that a fee is properly required, the Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 10-0435, referencing attorney docket no. 3220-78751.

Respectfully submitted,



Kevin L. McLAREN,
Attorney Reg. No. 48351

KLM/mje/832116v1
Indianapolis, IN
(317) 231-7776

Attachments: Copy of Marked-Up Filing Receipt
Copy of Power of Attorney from PURDUE RESEARCH FOUNDATION
Copy of Power of Attorney from THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES
Copy of Statement Under 37 CFR 3.73(b) Naming PURDUE RESEARCH FOUNDATION as Assignee
Copy of Statement Under 37 CFR 3.73(b) Naming THE GOVERNMENT OF THE UNITED STATES OF AMERICA AS REPRESENTED BY THE DEPARTMENT OF HEALTH AND HUMAN SERVICES as Assignee



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,532	10/14/2005	1625	950	3220-78751	4	27	2

23643
BARNES & THORNBURG
11 SOUTH MERIDIAN
INDIANAPOLIS, IN 46204

RECEIVED

AUG 03 2006

CONFIRMATION NO. 1581

FILING RECEIPT



OC000000019757504

BARNES & THORNBURG

Date Mailed: 07/26/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark S. Cushman, West Lafayette, IN; ✓
Yves G. Pommier, Bethesda, MD; ✓

Assignment For Published Patent Application

CUSHMAN, MARK S., POMMIER, Yves G.

PURDUE RESEARCH FOUNDATION;
THE GOVERNMENT OF THE UNITED STATES
OF AMERICA AS REPRESENTED BY THE
SECRETARY OF THE DEPARTMENT OF HEALTH
AND HUMAN SERVICES

Power of Attorney: The patent practitioners associated with Customer Number 23643.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/14581 05/11/2004 ✓
which claims benefit of 60/469,718 05/12/2003 ✓

Foreign Applications

If Required, Foreign Filing License Granted: 07/25/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,532**

Projected Publication Date: 11/02/2006

Non-Publication Request: No

Early Publication Request: No

Title

Cytotoxic indeno and isoindoloisoquinolones

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Docket No.

3220-

Name of Applicant: **PURDUE RESEARCH FOUNDATION**Address of Applicant: **3000 Kent Avenue
West Lafayette, IN 47906
US**Title: **CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONES**

Serial No., if Any:

Filed:

TO THE COMMISSIONER FOR PATENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

I hereby appoint:

**Bradford G. ADDISON, Attorney Reg. No. 41486
Rebecca L. BALL, Attorney Reg. No. 46535
Richard B. LAZARUS, Attorney Reg. No. 48215
Kevin L. McLAREN, Attorney Reg. No. 48351
Richard D. CONARD, Attorney Reg. No. 27321
Jeffrey A. MICHAEL, Attorney Reg. No. 37394
Jim HAIGHT, Attorney Reg. No. 25558
Susan RUCKER, Attorney Reg. No. 35762
Richard RODRIGUEZ, Attorney Reg. No. 45980
Steve FERGUSON, Attorney Reg. No. 38488**

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

**Bradford G. ADDISON, Esquire
BARNES & THORNBURG LLP
11 South Meridian Street
Indianapolis, IN 46204
US**

By:

**BRUCE L. PERSHING,
INVESTMENT OFFICER & SECRETARY**Dated: June 17, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Docket No.

3220-

Name of Applicant: *** THE GOVERNMENT OF THE UNITED STATES OF AMERICA
Address of Applicant: AS REPRESENTED BY THE SECRETARY OF THE DEPARTMENT OF
HEALTH AND HUMAN SERVICES
C/O NATIONAL INSTITUTES OF HEALTH
6011 Executive Boulevard, Suite 325
Bethesda, MD 20852
CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONES

Title:

Serial No., if Any:

Filed:

TO THE COMMISSIONER FOR PATENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

I hereby appoint:

Bradford G. ADDISON, Attorney Reg. No. 41486
Rebecca L. BALL, Attorney Reg. No. 46535
Richard B. LAZARUS, Attorney Reg. No. 48215
Kevin L. McLAREN, Attorney Reg. No. 48351
Richard D. CONARD, Attorney Reg. No. 27321
Jeffrey A. MICHAEL, Attorney Reg. No. 37394
Jim HAIGHT, Attorney Reg. No. 25558
Susan RUCKER, Attorney Reg. No. 35762
Richard RODRIGUEZ, Attorney Reg. No. 45980
Steve FERGUSON, Attorney Reg. No. 38488

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Bradford G. ADDISON, Esquire
BARNES & THORNBURG LLP
11 South Meridian Street
Indianapolis, IN 46204
US

By:

Jack G. Spiegel
Jack G. SPIEGEL, Ph.D.
Director, Division of Technology
Development and Transfer
National Institutes of Health

Dated: 20 July, 2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: CUSHMAN, Mark S.; POMMIER, Yves G.

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONES

PURDUE RESEARCH FOUNDATION, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 50 %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015560, Frame 0493, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

14 October 2005 (14.10.05)

Date

(317) 231-7776

Telephone number

Kevin L. McLAREN

Typed or printed name



Signature

Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: CUSHMAN, Mark S.; POMMIER, Yves G.

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONESThe Government of the United States of America as represented by
The Department of Health and Human Services

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 50 %
in the patent application/patent identified above by virtue of either:

A. [☒] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016336, Frame 0136, or for which a copy thereof is attached.

OR

B. [☐] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
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[☐] Additional documents in the chain of title are listed on a supplemental sheet.

[☐] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

14 October 2005 (14.10.05)

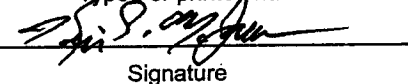
Date

(317) 231-7776

Telephone number

Kevin L. McLAREN

Typed or printed name



Signature

Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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